

GURPREET SINGH KHAMBA



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Thane, Maharashtra, India

Strategic risk management professional with over 24 years of experience in managing Operational Risk, IT Governance, Business Continuity, and Cybersecurity across BFSI and Technology sectors. Renowned for driving enterprise-wide risk programs, cultivating a strong risk culture, and aligning frameworks with business objectives. Adept at mentoring teams and junior professionals to develop the next generation of risk talent. Experienced in working across diverse functions to ensure integrated governance and cross-functional risk ownership.

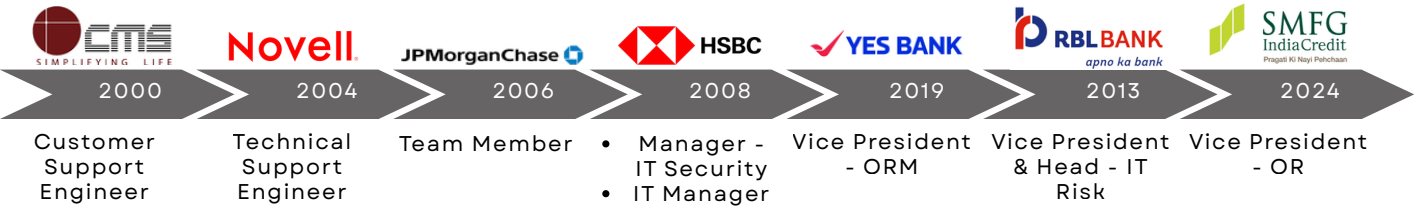
CORE EXPERTISE

- Operational & Technology Risk Governance
- Business Continuity & Resilience Strategy
- Strategic Policy Development & Execution
- Cross-functional Stakeholder Management
- Cybersecurity & Information Security Risk
- Risk Assessments & Regulatory Compliance
- Third-Party & Vendor Risk Management
- Mentoring & Team Development

CERTIFICATIONS

- Certified Information Security Manager (CISM) | ISACA
- Certified Information Systems Auditor (CISA) | ISACA
- ISO 31000:2018 Certified Professional in Risk Management | TÜV SÜD
- ISO 22301:2019 Business Continuity Management System - Lead Implementer | TÜV SÜD
- Certificate of Cloud Security Knowledge v4 (CCSK) | Cloud Security Alliance (CSA)
- Microsoft Certified: Azure Fundamentals | Microsoft
- Level 1 Enterprise Risk Management | Institute of Risk Management (IRM)
- Certified in Cybersecurity (CC) | ISC²

CAREER TIMELINE



PROFESSIONAL EXPERIENCE

SMFG INDIA CREDIT
Vice President - Operational Risk

Sep 2024 - Current, Mumbai, India

Designated as the functional lead for IT & IS Risk and Business Continuity Management (BCM)

- Spearheading organization-wide Operational and IT Risk governance, aligning policies with regulatory standards and strategic business objectives
- Leading Business Continuity & Crisis Management initiatives, driving enhancements in BCM frameworks to strengthen organizational resilience
- Conducting enterprise-wide risk assessments and control self-assessments; driving risk mitigation strategies and optimizing key controls across departments
- Developing Key Risk Indicators (KRIs), operational loss bookings, managing operational incidents, and publishing dashboards for senior management
- Executing third-party/vendor risk reviews, ensuring compliance with governance policies
- Collaborating with senior leadership, internal audit, and regulators for audits and compliance reviews
- Mentoring junior team members and cross-functional staff, enabling knowledge transfer and leadership development
- Working closely with cross-functional business units to ensure a unified approach to operational risk

Vice President & Head - IT Risk

- Architected and implemented the enterprise-wide IT Risk Management framework and policy, enhancing the organization's security posture and regulatory compliance
- Managed cross-functional collaborations between IT, risk, and business units to embed a risk-aware culture
- Led end-to-end risk assessments, control validation, and incident response strategies across the IT landscape
- Spearheaded periodic reviews and enhancements of IT policies, ensuring alignment with regulatory frameworks and industry best practices
- Delivered executive-level risk analysis, addressing gaps and presenting mitigation strategies for critical IT operations
- Conducted knowledge sessions and informal mentoring for new hires and junior analysts to strengthen team capability

Vice President - Operational Risk Management

Designated as the functional lead for IT & IS Risk

- Managed technology risk management initiatives, including Risk and Control Self-Assessments (RCSA), booking operational losses, and conducting root cause analysis for IT incidents
- Defined and assessed Key Risk Indicators (KRI) Metrics for Technology and Information Security
- Performed third party risk assessments, ensuring stringent compliance and remediation of identified issues
- Played a key role in regulatory interactions, IT audits, and risk assessment planning

Manager - IT Security, HSBC Bank, Mar 2016 - Jul 2019

- Strengthened IT control environments, monitored information security risks, and ensured adherence to governance frameworks. Conducted vendor risk assessments and drove compliance with third-party risk policies

IT Manager - Delivery & Support, HSBC Electronic Data Processing India Pvt. Ltd., May 2014 - Feb 2016

- Led a team of 25+ engineers, optimizing IT operations and service delivery for West India offices and branches. Delivered infrastructure solutions aligned with business needs

IT Service Manager, HSBC Bank, Feb 2008 - Apr 2014

- Managed IT services and complex, multi-disciplinary projects, ensuring seamless delivery and alignment with organizational goals

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| • JP MORGAN, Team Member | May 2006 - Feb 2008 |
| • NOVELL, Technical Support Engineer | Sep 2004 - May 2006 |
| • CMS COMPUTERS LTD., Customer Support Engineer | Oct 2000 - Sep 2004 |

EDUCATION

- **PGDBA**
Symbiosis Centre for Distance Learning • Pune, IN • 2011
- **Bachelor of Business Administration**
Madurai Kamaraj University • Madurai, IN • 2006
- **Diploma in Electronics & Telecommunication Engineering**
Shah & Anchor Polytechnic • Mumbai, IN • 1999